

# A Computationally Sound Automatic Prover for Cryptographic Protocols

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## Introduction

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Two approaches for the automatic proof of cryptographic protocols in a computational model:

- **Indirect approach:**

- 1) Make a Dolev-Yao proof.

- 2) Use a theorem that shows the soundness of the Dolev-Yao approach with respect to the computational model.

Pioneered by Abadi and Rogaway; currently attracts much attention.

- **Direct approach:**

Design automatic tools for proving protocols in a computational model.

Approach pioneered by Laud.

## Advantages and drawbacks

The indirect approach allows more reuse of previous work, but it has limitations:

- **Hypotheses** have to be added to make sure that the computational and Dolev-Yao models coincide.
- The **allowed cryptographic primitives** are often limited, and only ideal, not very practical primitives can be used.
- Using the Dolev-Yao model is actually a (big) **detour**;  
The computational definitions of primitives fit the computational security properties to prove.  
They do not fit the Dolev-Yao model.

We decided to focus on the direct approach.

## An automatic prover

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Work in progress!

We have implemented an **automatic prover**:

- proves **secrecy**.
- handles **macs** (message authentication codes) and **stream ciphers** (plus a few other variants of symmetric encryption).
- works for  **$N$  sessions** (polynomial in the security parameter), with an **active adversary**.

Extensions to other security properties and primitives are obviously planned.

## Produced proofs

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As in Shoup's method, the proof is a sequence of games:

- The first game is the **real protocol**.
- One goes from one game to the next by syntactic transformations or by applying the definition of security of a cryptographic primitive.  
The difference of probability between consecutive games is negligible.
- The last game is **"ideal"**: the security property can be read directly on it.  
(The advantage of the adversary is 0 for this game.)

A game is formalized as an **extension of the pi calculus** with function symbols and arrays.

## Process calculus for games: terms

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Essentially extends the calculus of [Lincoln, Mitchell, Mitchell, Scedrov] with arrays.

$M ::=$	terms
$x, y, z, x[M_1, \dots, M_n]$	variable
$f(M_1, \dots, M_n)$	function application
$M = M'$	equality test
$\text{if } M \text{ then } M_1 \text{ else } M_2$	test
$\text{find } j \leq N \text{ suchthat } \text{defined}(x[j], \dots) \ \&\& \ M \text{ then } M_1 \text{ else } M_2$	array lookup
$\text{let } x = M \text{ in } M'$	assignment

## Process calculus for games: processes

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$P ::=$	process
$0$	nil
$P \mid P'$	parallel composition
$!i \leq N P$	replication $N$ times
$c(x : T); P$	input
$\bar{c}\langle M \rangle; P$	output
$new\ x : T; P$	random number generation (uniform)
$let\ x = M\ in\ P$	assignment
$if\ M\ then\ P\ else\ P'$	conditional
$find\ j \leq N\ such\ that\ defined(x[j], \dots) \ \&\&\ M\ then\ P\ else\ P'$	array lookup

## Arrays

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Arrays replace **lists** often used in cryptographic proofs.

A variable defined under a replication is implicitly an **array**:

$$!^{i \leq N} \text{let } x = M \text{ in } \dots$$

in fact defines  $x[i]$ , for  $i$  in  $1, \dots, N$ . Under  $!^{i \leq N}$ , we write  $x$  for  $x[i]$ .

Only variables with the current indexes can be assigned.

Variables may be defined at several places, but only one definition can be executed for the same indexes.

(if  $\dots$  then  $\text{let } x = M \text{ in } P$  else  $\text{let } x = M' \text{ in } P'$  is ok)



## Arrays (continued)

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*find* performs an **array lookup**:

$$!^{i \leq N} \text{let } x = M \text{ in } P$$
$$| !^{i' \leq N'} c(y : T) \text{find } j \leq N \text{ such that } \text{defined}(x[j]) \ \&\& \ y = x[j] \text{ then } \dots$$

Note that *find* is here used outside the scope of  $x$ .

This is the only way of getting access to values of variables in other sessions.

When several sessions satisfy the condition of the *find*, the returned index is chosen randomly, with uniform probability.

## MACs: security definition

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A mac takes as input a message and a secret key  $mac(m, k)$ . It comes with a checking function  $check$  such that

$$check(m, k, mac(m, k)) = true$$

A mac guarantees the integrity and authenticity of the message because only someone who knows the secret key can build the mac.

More formally, an adversary  $\mathcal{A}$  that has oracle access to  $mac$  and  $check$  has a negligible probability to forge a mac:

$\Pr[check(m, k, t) \mid k \stackrel{R}{\leftarrow} kgen; (m, t) \leftarrow \mathcal{A}^{mac(.,k), check(.,k,.)}]$  is negligible when the adversary  $\mathcal{A}$  has not called the  $mac$  oracle on message  $m$ .

## MACs: intuitive implementation

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By the previous definition, the adversary has a negligible probability of forging a correct mac.

So when checking a mac with  $check(m, k, t)$  and  $k$  is secret, the check can succeed **only if  $m$  is in the list (array) of messages whose mac has been computed** by the protocol.

So we can replace a check with an array lookup:

if the call to  $mac$  is  $mac(x, k)$ , we replace  $check(m, k, t)$  with

$$\text{find } j \leq N \text{ such that } defined(x[j]) \ \&\& \\ (m = x[j]) \ \&\& \ check(m, k, t) \ \text{then true else false}$$

Furthermore, we use primed function symbols after the transformation, so that it is not done again.

## MACs: formal implementation

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$check(m, kgen(r), mac(m, kgen(r))) = true$

$new\ r : keyseed; (\$   
     $(x : bitstring) \rightarrow_N mac(x, kgen(r)),$   
     $(m : bitstring, t : macstring) \rightarrow_{N'} check(m, kgen(r), t))$

$\approx$  up to negligible probability

$new\ r : keyseed; (\$   
     $(x : bitstring) \rightarrow_N mac'(x, kgen'(r)),$   
     $(m : bitstring, t : macstring) \rightarrow_{N'} find\ j \leq N\ suchthat\ defined(x[j]) \ \&\&$   
         $(m = x[j]) \ \&\& check'(m, kgen'(r), t)\ then\ true\ else\ false)$

The prover understands such specifications of primitives.

## MACs: formal implementation

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The prover applies the previous rule automatically in **any (polynomial-time) context**, perhaps containing **several occurrences** of *mac* and or *check*:

- Each occurrence of *mac* is replaced with *mac'*.
- Each occurrence of *check* is replaced with a *find* that looks in all arrays of computed MACs (one array for each occurrence of function *mac*).

## Stream ciphers

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Similarly, the security of **stream ciphers** is expressed as follows:

$$\text{dec}(\text{enc}(m, \text{kgen}(r), r'), \text{kgen}(r)) = m$$

$$\text{new } r : \text{keyseed}; (x : \text{bitstring}) \rightarrow_N \text{new } r' : \text{IV}; \text{enc}(x, \text{kgen}(r), r')$$

$\approx$  up to negligible probability

$$\text{new } r : \text{keyseed}; (x : \text{bitstring}) \rightarrow_N \text{new } r' : \text{IV}; \text{enc}'(Z(x), \text{kgen}'(r), r')$$

A stream cipher is non-deterministic, length-revealing, resistant to Chosen Plaintext Attacks (CPA).

$Z(x)$  is the bitstring of the same length as  $x$  containing only zeroes (for all  $x : \text{nonce}$ ,  $Z(x) = Z_{\text{nonce}}, \dots$ ).

## Syntactic transformations

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- **Expansion of if/find/let**: replace an expression if/find/let with a process, by duplicating the code that follows the test. This corresponds to performing a case analysis.
- **Single assignment renaming**: when a variable is assigned at several places, rename it with a distinct name for each assignment. (Not completely trivial because of array references.)
- **Expansion of assignments**: replacing a variable with its value. (Not completely trivial because of array references.)

## Simplification and elimination of collisions

Terms are simplified according to equalities that come from:

- **Assignments:** *let*  $x = M$  *in*  $P$  implies that  $x = M$  in  $P$
- **Tests:** *if*  $M = N$  *then*  $P$  implies that  $M = N$  in  $P$
- **Definitions of cryptographic primitives**
- When a *find* guarantees that  $x[j]$  is defined, equalities that hold at definition of  $x$  also hold under the *find* (after substituting  $j$  for array indexes)
- **Elimination of collisions:** for example, if  $N$  is created by *new*,  $N[i] = N[j]$  implies  $i = j$ , up to negligible probability



## Proof strategy: advice

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- One tries to execute each transformation given by the definition of a cryptographic primitive.
- When it fails, it tries to analyze why the transformation failed, and **suggests syntactic transformations** that could make it work.
- One tries to execute these syntactic transformations. (If they fail, they may also suggest other syntactic transformations, which are then executed.)
- We retry the cryptographic transformation, and so on.

## Results

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For the moment, tested on two protocols:

- **Otway-Rees**, secrecy of the exchanged key successfully proved (runtime 1.15 s on a Pentium M 1.8 GHz).
- **Yahalom**: the original version is not proved, because the protocol is **not** secure, at least using encrypt-then-mac as definition of encryption (runtime 0.62 s).

There is a confirmation round  $\{N_B\}_K$  where  $K$  is the exchanged key. This message may reveal some information on  $K$ .

If we remove this confirmation round, the secrecy of  $K$  is proved (runtime 0.61 s).

## Otway-Rees

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$M, N_a, N_b$  fresh nonces;  $K_{ab}$  fresh key created by the server.

- 1  $A \rightarrow B$   $M, A, B, e_1 = \{N_a, M, A, B\}_{K_{as}}$
- 2  $B \rightarrow S$   $M, A, B, e_1, \{N_b, M, A, B\}_{K_{bs}}$
- 3  $S \rightarrow B$   $M, e_2 = \{N_a, K_{ab}\}_{K_{as}}, \{N_b, K_{ab}\}_{K_{bs}}$
- 4  $B \rightarrow A$   $M, e_2$

Encryption implemented as encrypt-then-mac:

$\{M\}_k$  is in fact *new*  $r; e = enc(M, k, r); e, mac(e, mk)$ .

$A, B,$  and  $S$  may also talk to **dishonest participants**.

## Proof of Otway-Rees (1)

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Expand if/let/find; Simplify

Remove useless assignments

Remove assignments to  $mK_{bs}$

Single assignment renaming of  $Rmkey$  (mac key in the key table)

Remove assignments  $Rmkey1$ ,  $Rmkey2$ ,  $Rmkey3$

Security of  $mac$  for  $mK_{bs}$

Expand if/let/find; Simplify

Remove useless assignments

Remove assignments to  $mK_{as}$

Security of  $mac$  for  $mK_{as}$

Expand if/let/find; Simplify

Remove useless assignments

## Proof of Otway-Rees (2)

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Remove assignments to  $K_{bs}$

Single assignment renaming of  $Rkey$  (encryption key in the key table)

Remove assignments  $Rkey1$ ,  $Rkey2$ ,  $Rkey3$

Security of *enc* for  $K_{bs}$

Expand if/let/find; Simplify

Remove useless assignments

Remove assignments to  $K_{as}$

Security of *enc* for  $K_{as}$

Expand if/let/find; Simplify

Remove useless assignments

Single assignment renaming of  $K_{ab}$

Simplify

Success!

## Conclusion

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Hopefully a promising approach, but still some work to do:

- Extension to **other cryptographic primitives**: public-key cryptography (encryption and signatures), hash functions, Diffie-Hellman, xor.  
(small extensions to the format of primitive specifications, improvements to the simplification algorithm)
- Extension to **other security properties**: semantic security of the key, authenticity, ...
- More **experiments**.
- Detailed **proofs**.

## Acknowledgments

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Thank you for your attention.

Questions?